

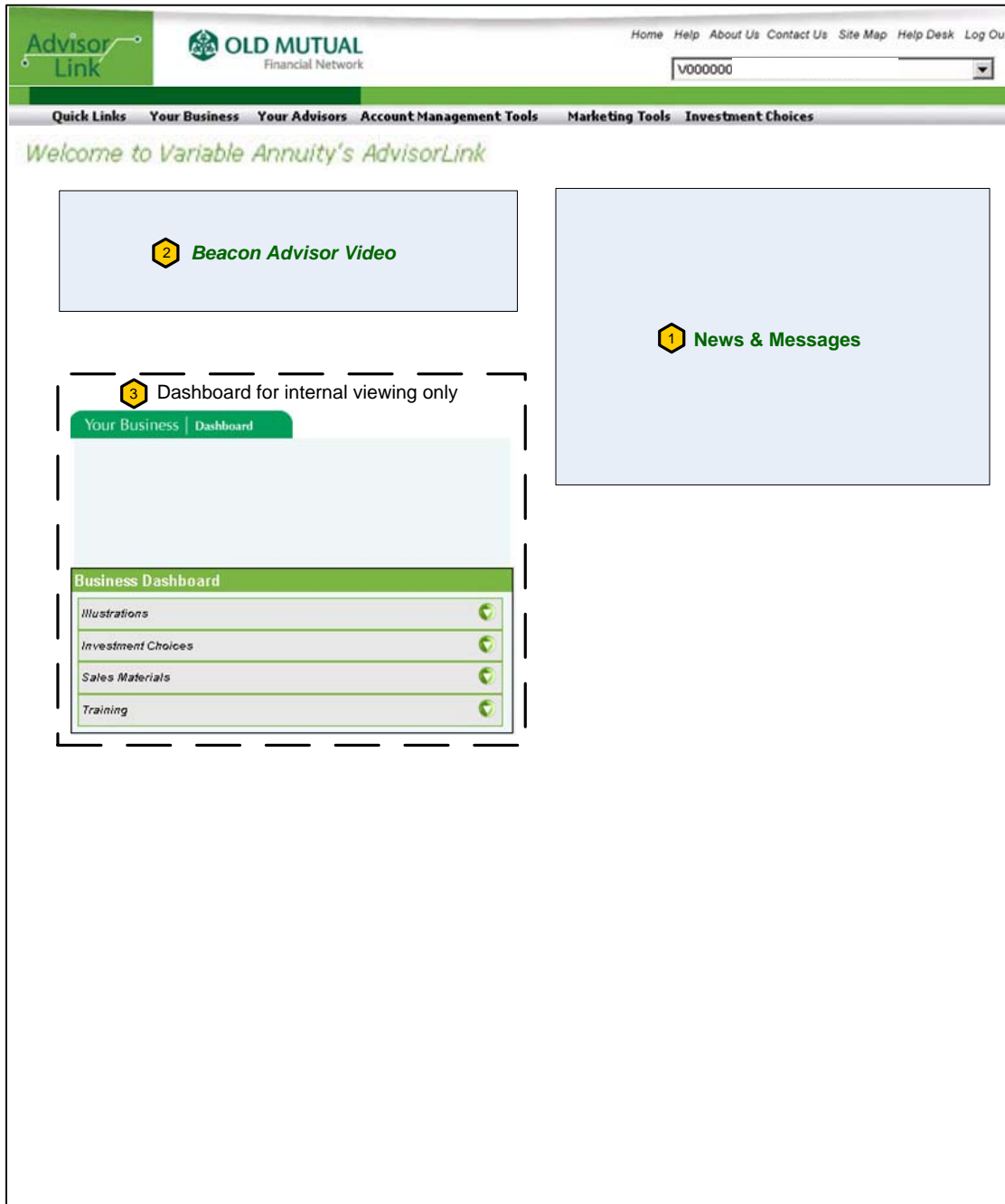
The screenshot shows the AdvisorLink homepage. At the top, there is a navigation bar with 'Quick Links', 'Your Business', 'Your Advisors', 'Account Management Tools', 'Marketing Tools', and 'Investment Choices'. Below this is a 'Welcome to Variable Annuity's AdvisorLink' message. The main content area is divided into several sections: 'Variable Annuity Dashboard' with 'Beacon Navigator Video' and 'Beacon Advisor Video'; 'Advisor Dashboard' with 'New Business' and 'Contracting Status'; 'Your Business Dashboard' with 'News & Messages'; and 'Business Dashboard' with 'Illustrations', 'Investment Choices', 'Sales Materials', and 'Training'. A 'LIMRA Course' section is located at the bottom left, featuring 'Important information concerning the LIMRA Anti-Money Laundering Course'. A 'PROMO & ANNOUNCEMENT ADS' bar is positioned above the course section.

Notes

The Homepage for Registered Representatives should include the following features:

- 1 News & Messages
- 2 Advisor Dashboard
- 3 Business Dashboard
- 4 Beacon Navigator Video
- 5 *Beacon Advisor Video (new)*
- 6 Banner Ads & Promos

Functionality notes...



AdvisorLink
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Quick Links Your Business Your Advisors Account Management Tools Marketing Tools Investment Choices

Welcome to Variable Annuity's AdvisorLink

2 Beacon Advisor Video

1 News & Messages

3 Dashboard for internal viewing only

Your Business | Dashboard

Business Dashboard

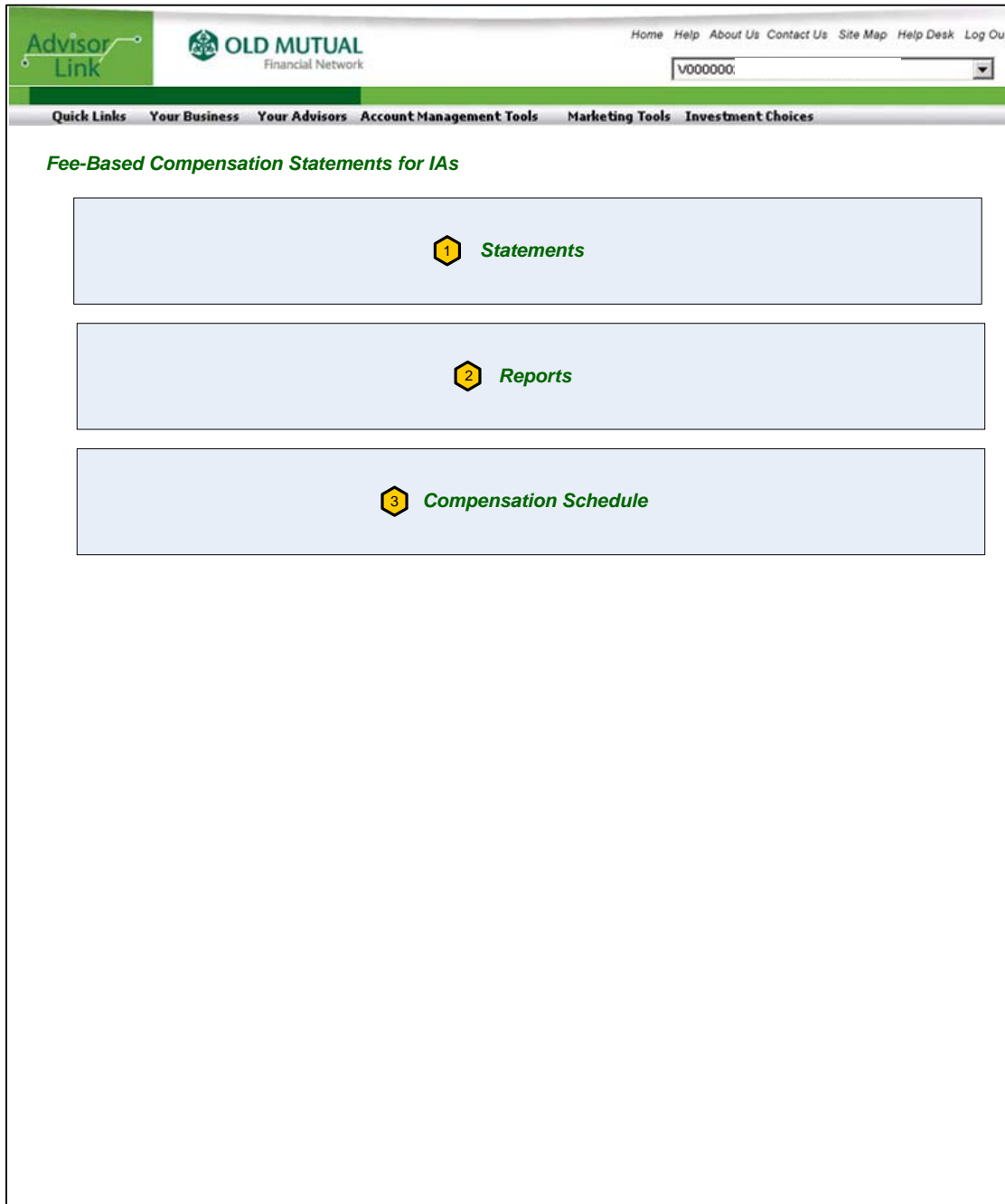
Illustrations	✓
Investment Choices	✓
Sales Materials	✓
Training	✓

Notes

The Homepage for Investment Advisors should include the following features:

- 1 News & Messages
- 2 Beacon Advisor Video (new)
- 3 Dashboard (for internal viewing only)

The Investment Advisor will not see the Advisor or Business Dashboard (a Dashboard will be available for internal viewing purposes); the Beacon Navigator Video; or Banner Ads & Promos



Advisor Link

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Fee-Based Compensation Statements for IAs

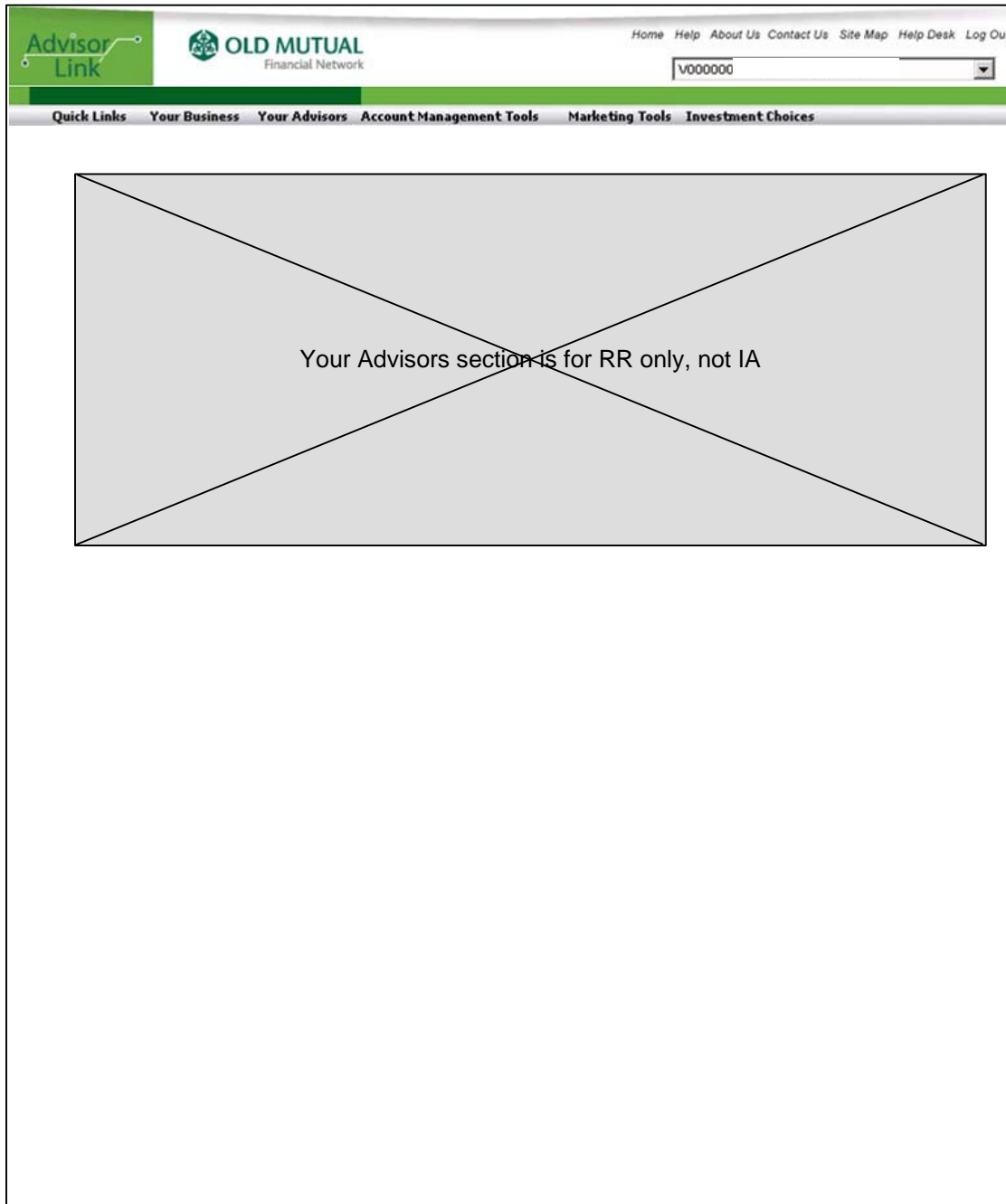
- 1 **Statements**
- 2 **Reports**
- 3 **Compensation Schedule**

Notes

The fee-based compensation statements features for IA's should include the following reports:


- 1 **Statements**
- 2 **Reports**
- 3 **Compensation Schedule**


Fee-based compensations apply to Investment Advisors, not Registered Representatives (RR). RR advisors will see the standard commission-based statements and reports.



Notes

The "Your Advisors" section and links are not needed for IA, they will remain for internal use only.





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Quick Links
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Account Management Tools > Select Multiple Contracts to Manage

1 **Multiple Contract Summary (Need details?)**

Multiple Contract Transactions: Contract Search

Select Contracts to manage:

Contract Number

Contract Name

Contract Value
\$

to \$


Fund


▼

Notes

All current Account Management Tools content applies to both IA and RR. However, for RR Pending Transactions, Product View, Client Detail View, and Fund Details View are optional. The following is new functionality for IA only:

- 1 Multiple Contract Summary: need description
- 2 Contract Search: The first step for performing Multiple Contract Transactions is to define what contracts will be the target of the transaction via a search based on criteria such as Contract Number, Contract Name, Contract Value or Fund.





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Account Management Tools > Select Multiple Contracts to Manage

Multiple Contract Search Results

Select	Contract Number	Name
<input type="checkbox"/> Select All <input type="checkbox"/> Deselect All		
<input type="checkbox"/>	VA123456789	Sample Contract Name 1
<input type="checkbox"/>	VA123456789	Sample Contract Name 2
<input type="checkbox"/>	VA123456789	Sample Contract Name 3
<input type="checkbox"/>	VA123456789	Sample Contract Name 4
<input type="checkbox"/>	VA123456789	Sample Contract Name 5
<input type="checkbox"/>	VA123456789	Sample Contract Name 6
<input type="checkbox"/>	VA123456789	Sample Contract Name 7
<input type="checkbox"/>	VA123456789	Sample Contract Name 8

Create Contract Set:


Name:


Add to Contract Set:

Select:

Notes

- 1 The multiple contract search results will list contracts found by contract number and name with the IA able to sort by either field.
- 2 The IA will use these results to create the Contract Set on which to perform a bulk transaction or to add contracts he selects to an existing Contract Set.





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Review Contract Set [Name]:


Select	Contract Number	Name
<input type="checkbox"/> Select All <input type="checkbox"/> Deselect All		
<input type="checkbox"/>	VA123456789	Sample Contract Name 1
<input type="checkbox"/>	VA123456789	Sample Contract Name 2
<input type="checkbox"/>	VA123456789	Sample Contract Name 3
<input type="checkbox"/>	VA123456789	Sample Contract Name 4
<input type="checkbox"/>	VA123456789	Sample Contract Name 5
<input type="checkbox"/>	VA123456789	Sample Contract Name 6
<input type="checkbox"/>	VA123456789	Sample Contract Name 7
<input type="checkbox"/>	VA123456789	Sample Contract Name 8

Remove Selected Contracts
Add Additional Contracts

Notes

- 1
After creating or adding to a Contract Set, the IA will review the final set and have the ability to remove or add contracts as necessary.





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1

Select transaction to perform:

Bulk Rebalance Subaccount Allocations

Bulk Change Future Allocations

Bulk Transfer Subaccount Allocations

[Selecting transaction results in the transaction validation table]

2

Transaction Validation Results on Contract Set [Name]:

Select	Contract Number	Name	Validation Results
<input type="checkbox"/>	VA123456789	Sample Contract Name 1	Ok
<input type="checkbox"/>	VA123456789	Sample Contract Name 2	Ok
<input type="checkbox"/>	VA123456789	Sample Contract Name 3	Ok
<input type="checkbox"/>	VA123456789	Sample Contract Name 4	Ok
<input type="checkbox"/>	VA123456789	Sample Contract Name 5	Ok
<input type="checkbox"/>	VA123456789	Sample Contract Name 6	Ok
<input type="checkbox"/>	VA123456789	Sample Contract Name 7	Ok
<input type="checkbox"/>	VA123456789	Sample Contract Name 8	Failed


Removed Failed Contracts


Validate

Submit Transaction

Notes

- 1
 After the creation of the Contract Set, the IA must chose the transaction to perform to that set.
- 2
 Upon selecting the desired transaction, a validation process is completed and the results returned. Any contract that fails validation (meaning the transaction cannot be performed to this contract) will appear in red text. The IA will be able to remove failed contracts, revalidate and finally submit the transaction.




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Transaction History Search 2

Select your search:

Contact Set

Date

Contract Number

Contract Name

Contract Value \$ to \$

Fund ▼

[Transaction search results]

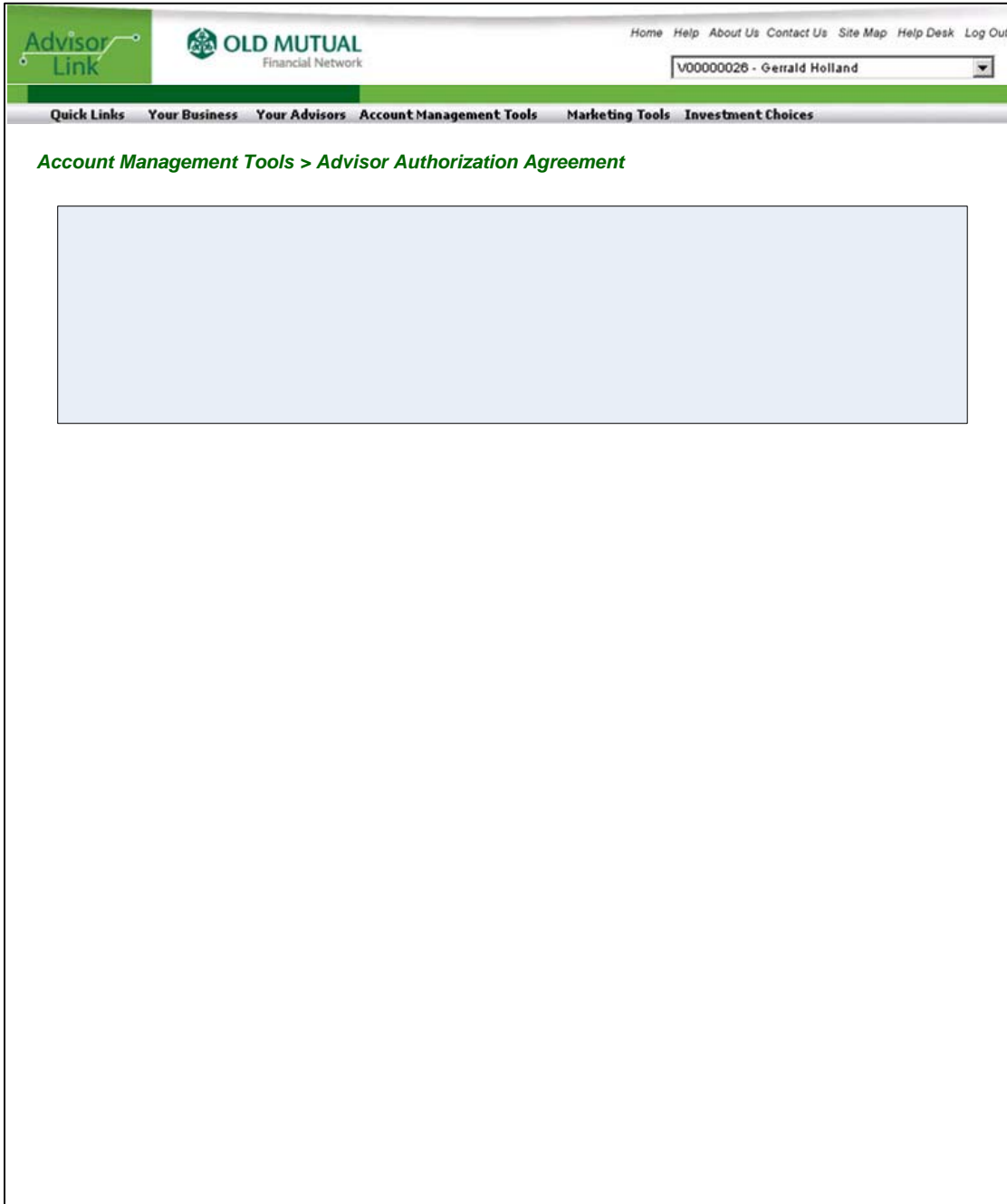
Multiple Contract Search Results 1

Contact Name	No./Contracts	Date Submitted
Contact Set Name	10	June 10, 2007
Contact Set Name	10	June 10, 2007
Contact Set Name	10	June 10, 2007
Contact Set Name	10	June 10, 2007
Contact Set Name	10	June 10, 2007
Contact Set Name	10	June 10, 2007
Contact Set Name	10	June 10, 2007
Contact Set Name	10	June 10, 2007
Contact Set Name	10	June 10, 2007

Notes

The Transaction History will let the IA search for past transactions using the same user interface (??) as the Multiple Contract Search.

The Transaction History search results will provide the IA with a read-only list he can view only or chose to print or save as a PDF.



Advisor Link

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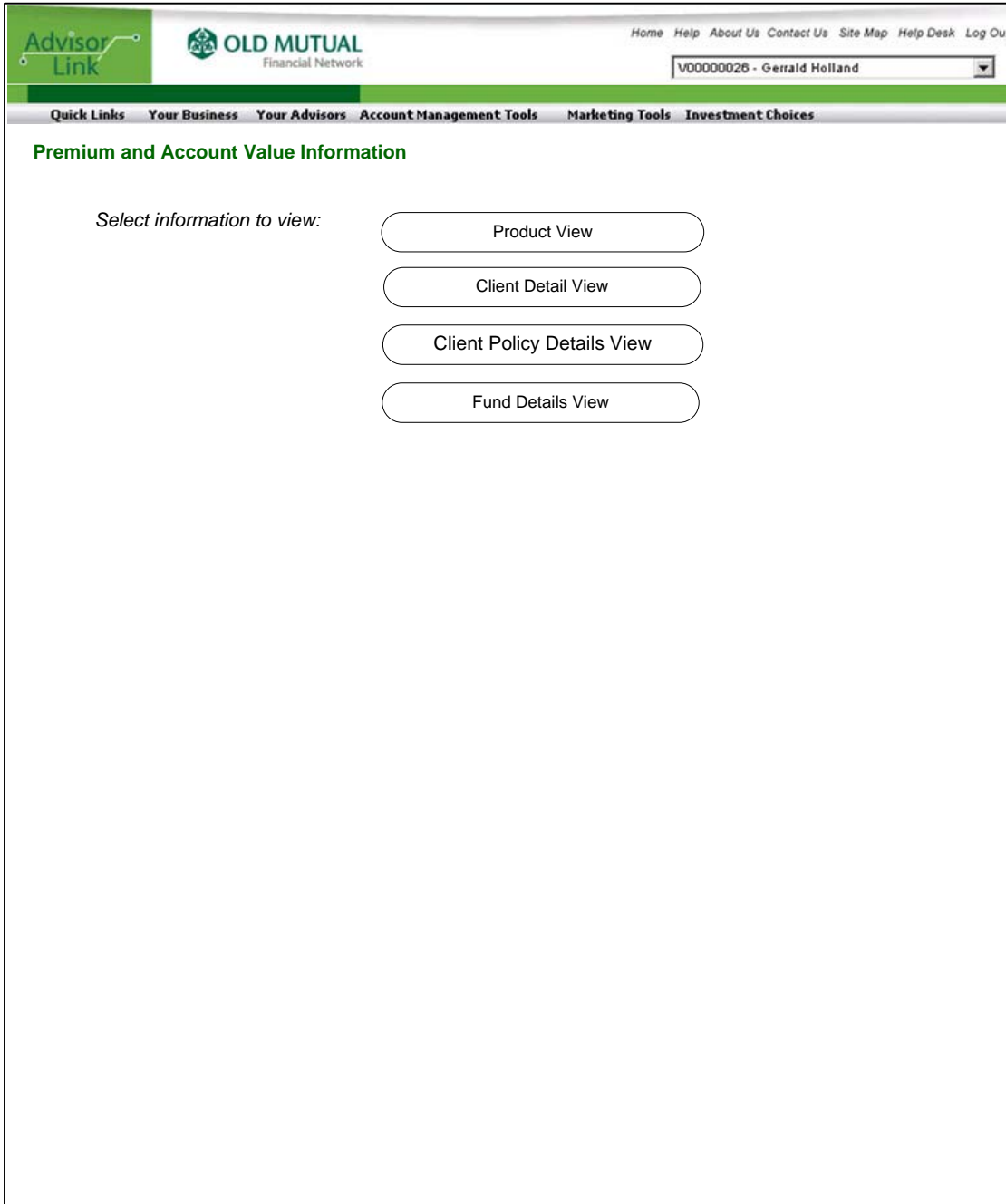
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Quick Links Your Business Your Advisors **Account Management Tools** Marketing Tools Investment Choices

Account Management Tools > Advisor Authorization Agreement

Notes

Pertinent RIA data must be stored and displayed on the OMFN portal for all parties to a contract (i.e. each entity listed in the "Involved Role" column of table [Table 3 - Trade Authority and Query Privilege Assignments](#), pg/ 22 of the BRD), including terminated parties.





The screenshot shows the 'Advisor Link' interface for Old Mutual Financial Network. At the top, there is a navigation bar with 'Advisor Link' on the left and 'Home Help About Us Contact Us Site Map Help Desk Log Out' on the right. Below this is a search bar containing 'V00000026 - Gerald Holland'. A secondary navigation bar includes 'Quick Links', 'Your Business', 'Your Advisors', 'Account Management Tools', 'Marketing Tools', and 'Investment Choices'. The main content area is titled 'Premium and Account Value Information' and contains the instruction 'Select information to view:' followed by four buttons: 'Product View', 'Client Detail View', 'Client Policy Details View', and 'Fund Details View'.

Notes

Investment Advisors need to view premium and account value information for clients in their control. They will be able to view this information in 4 different views: Product View, Client Detail View, Client Policy Details View, Fund Details View

The Product View displays the following information for clients that are invested in the given product.

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Premium and Account Value Information:


Product View

Client Name	Policy Number	Total Premium	Total Premium Less Withdrawals	Current Account Value

Notes

The Product View displays the following information for clients that are invested in the given product.





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Account Management Tools:


Client Detail View

Client Name	Product Name	Total Premium	Current Account Value	Fund Holdings	Gain/Loss Prior Month	Largest Position	Contract Issue Date

Notes

Investment Advisors need to view information on how clients in their control are positioned in the list of available funds. The Client Detail View displays the following information for all clients associated with the given Investment Advisor.





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Account Management Tools > Client Policy Details View

Fund Name	Total Premium	Current Account Value	Prior Account Value	Gain/Loss Prior Month	Gain/Loss from Inception	# of Months Held

Notes

Investment Advisors need to view the performance of a client's policy by fund. The Client Policy Details View displays the following information for a specific client's policy.

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Account Management Tools:

Fund Details View

Select a Fund to View:

Details for [Fund Name]

Total Premium	Current Account Value	Prior Account Value	in/Loss Prior Month	Gain/Loss from Inception	# of Months Held

Notes

Investment Advisors need to view the statistics associated with each available fund. The Fund Details View displays the following information for all funds.